Advancing Responsible Trading Practices in Emerging Financial Markets

NCPG
National Council on Problem Gambling

Introduction

- Rapid growth of prediction markets and similar financial products in the U.S.
- Behavioral risks mirror those seen in traditional gambling.
- Lack of coordinated national infrastructure to support responsible participation.
- Rulemaking/Legislative changes:
  - CFTC published intent to do rulemaking on March 12, 2026. This opened up a public comment period that lasted until April 30, 2026.
  - CFTC will be taking those comments into account and drafting proposed rules. The date of this is uncertain and entirely up to the CFTC. Once those proposed rules are published it will open up another public comment period.

Impact & Benefits:

- Safer and more informed trading decisions
- Increased public awareness of behavioral and financial risks
- Creation of sustainable infrastructure for responsible market participation
- Strengthened industry standards for consumer protections
  - **Industry:** Adopt responsible trading practices. Join training & accreditation programs.
  - **Traders:** Practice safe participation. Know the risks. Seek help early.
  - **Researchers & Policymakers:** Collaborate to shape safer, sustainable financial markets.
  - **Government:** Regulate this activity on par with state sports betting ecosystems

Program Goals:

- Establish national infrastructure for responsible behavior in financial markets.
- Increase public awareness of trading-related risks and early help-seeking.
- Strengthen industry engagement and collaboration.

Program Components:

Infrastructure Development & Industry Engagement

- Coordinate cross-industry initiatives through dedicated national leadership
- Conduct needs assessments to identify education gaps and emerging risks
- Develop training, guidance, and accreditation programs for platforms and professionals
- Convene summits, workshops, and stakeholder forums to share best practices and standards

Nationwide Public Awareness Campaign

- Deliver targeted messaging on behavioral and financial risks
- Promote responsible participation strategies and early help-seeking behaviors
- Engage diverse and underserved populations through tailored outreach efforts

Learn More About the Financial Trader Health and Safety Initiative